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# Optimal Performance of Variable Stiffness Devices for Structural Control

*This paper addresses control of structural vibrations using semi-active actuators that are capable of manipulating stiffness and/or producing variable stiffness. Usually vibration suppression is achieved using damping devices rather than stiffness ones. However, stiffness devices can produce large forces and have significant advantages for shock isolation purposes. In this work we use a passivity approach to establish the requirements for the control law for a structure equipped with semi-active stiffness devices. We also solve an optimal control problem that demonstrates that our passive, resetting feedback control law approximates the optimal control. Simulation and experimental results are presented in support of the proposed approach. [DOI: 10.1115/1.2432360]*

## 1 Introduction

Recently, there has been a great deal of interest in actuation mechanisms that require low to negligible levels of power for operation. Although the applications can be varied, here we focus our discussion on structural control, where traditional control approaches often dictate actuator forces that do not meet typical cost or reliability requirements. This has led to mechanisms that produce sizable forces through manipulating structural characteristics (e.g., damping and stiffness), based on relatively simple control logic. Often, with a slight abuse of notation, these are called semi-active devices due to their very low power consumption, often provided by compact batteries. Generically, the term semi-active often is used for devices that cannot add energy to the system.

By now, there is extensive literature showing the benefits of the semi-active control approach. Although a comprehensive survey is not feasible here, applications to structures and aerospace can be found in [1,2], respectively, and applications to bridges and shock absorbers are presented in [3,4]. Typical early devices were hydraulic, but recent progress in electrorheological and magnetorheological material has led to a variety of new semi-active devices based on these materials, which essentially manipulate the damping characteristics (see [5–8], and the references within for a representative sample). Approaches that manipulate stiffness go back to variable stiffness models used in [9], in the context of variable structure control and quadratic stability, respectively, although the concept of semi-active (or low energy) was not present in such early work. The concept of semi-active stiffness devices was discussed in [8,10] and later in the work of [4,11–13] ([13] uses piezomaterial to develop variable stiffness devices that have a great deal in common, conceptually, with devices discussed here).

Roughly speaking, these devices act as additional stiffness elements that store energy during compression or elongation. By reducing the stiffness (e.g., opening a valve or releasing a locking mechanism), the stiffness is reduced suddenly, resulting a rapid loss of stored energy. The control logic is often aimed at finding suitable points for reducing the stiffness and then increasing it back to the high value. If the stiffness can be increased without energy input, the resulting device will meet the semi-active characterization.

Here, we focus on a new class of semi-active devices, introduced in [11]. These stiffness devices are capable of producing large resisting forces. The basic design is feasible for both pneumatic and hydraulic implementation, thus offering a great deal of reliability due to its dependence on standard hydraulic or pneumatic concepts, particularly when compared to devices employing novel materials. Naturally, it possesses the low power, semi-active, and decentralized properties that many of these devices share. More importantly, in addition to the traditional variable stiffness implementation, it can be used in a “resetting” arrangement that has many additional advantages (see [14] for some of the benefits and advantages of the resetting devices, as compared to other semi-active approaches).

Concepts similar to our resetting (originally developed in [11]) have been proposed by others. In [15], a version of this approach (though not necessarily the semi-active form) was studied, including the homogeneity property, in which the nonlinear system retains the same eigenvalues and eigenvectors. Similarly [2] considers an approach quite similar to our resetting approaches, but the logic is not decentralized and often depends on the large dimensional modal representations. Here, we discuss the resetting devices and techniques of [11]. Basic properties, particularly for structural applications, were reported in [16]. Recently, a benchmark problem was used for evaluation and comparison for different semi-active approaches (see [17] and references therein). More recently, large capacity devices have been developed (see [18]), whose effectiveness and reliability have been verified through full-scale testing [19]. While we review these results, briefly, our main focus in this paper is to present analytical results regarding the motivation (from optimal control) as well as stability and performance measured (based on passivity arguments). We thus avoid the often ad hoc (or strictly device based) approaches used in much of the semi-active field. For example, our results can easily accommodate the inevitable delays that are faced when the stiffness is to be increased.

In Sec. 2, we provide a preliminary discussion on the basic design. Section 3 deals with motivation from an optimal control viewpoint. Next, for feedback operation in response to general disturbances, we examine the properties of the device through a passivity framework which naturally leads to a semi-active variable stiffness switching logic as well as a semi-active resetting logic. Both of these are then generalized for a generic multidegree-of-freedom (MDOF) structural model, preserving their main properties, including the decentralized nature of the overall approach. In Sec. 4, we show a set of representative ex-

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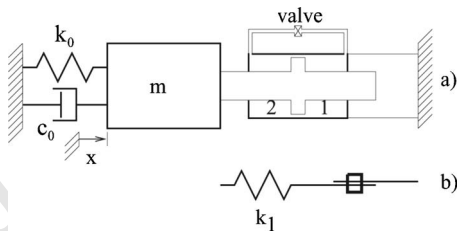


Fig. 1 Schematic representing the variable stiffness device.

86 perimental results regarding their characteristics, whereas in Sec.  
87 5 we show some simulation results about their feasibility in struc-  
88 tural applications.

## 89 2 Description of Hardware and Model

90 The main idea is to find a control law for a device that acts like  
91 a spring, whose stiffness can be manipulated in real time, without  
92 adding significant amounts of energy. As discussed throughout  
93 this paper, we are interested in two forms of control logic: (i)  
94 when the stiffness of the device can be switched between zero and  
95 the maximum value at appropriate times (i.e., variable stiffness  
96 form) or (ii) when the stiffness can be changed from the maximum  
97 value to zero and *immediately* increased back to the maximum  
98 value (i.e., resetable from).

99 The basic concept can be demonstrated in the schematic shown  
100 in Fig. 1, where we show a simple mass-spring system connected  
101 to the proposed device, which is depicted as a double-acting cyl-  
102 nder with an external line that connects the chambers on sides 1  
103 and 2 of the cylinder through an on/off, or a proportional valve.  
104 When this valve is closed, motion of the piston compresses the  
105 gas, and as shown in [16], the force produced by the gas can be  
106 closely approximated by a linear spring with stiffness  $k_1$   
107  $= 2A^2\kappa p_o/v_o$ , where  $A$  is the piston area,  $p_o$  is initial pressure,  $v_o$   
108 is the initial volume, and  $\kappa$  is the ratio of constant pressure spe-  
109 cific heat of the gas to constant volume specific heat ( $c_p/c_v$ ) of the  
110 gas. It was assumed for this derivation that  $p_o$  and  $v_o$  are equal on  
111 both sides of the piston. The linear spring approximation is rep-  
112 resented in the Fig. 1 below the cylinder as spring of stiffness  $k_1$   
113 connected to ground through a collar. When the valve is open, no  
114 force is produced by motion of the piston because the gas flows  
115 easily between the two sides of the cylinder. This corresponds to  
116 the collar being unlocked and sliding freely. Otherwise, closing  
117 the valve is analogous to locking the collar with zero force from  
118 the spring  $k_1$  at some position  $x=x_s$ .

119 Our hardware implementation of this device is shown in Fig. 2.  
120 The cylinder is a standard Parker hydraulic cylinder capable of a  
121 peak pressure of 5000 psi (34.4 MPa) with a 4 in. (10.16 cm)  
122 bore and a 3 in. (7.62 cm) stroke. The valve connecting the two  
123 sides of the cylinder is a Moog direct-drive proportional valve  
124 capable of <5 ms response times with the orifice area propor-

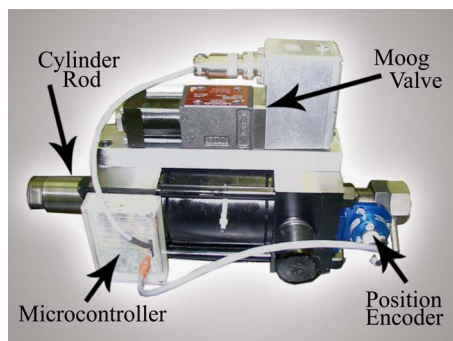


Fig. 2 Variable stiffness device capable of 30,000 lb output

tional to the control voltage. We filled both sides of the hydraulic  
cylinder with nitrogen gas up to about  $p_o=800$  lb/in.<sup>2</sup> (55 atm).  
Note that standard hydraulic cylinders can handle up to  
5000 lb/in.<sup>2</sup>, so that peak force level of about 30,000 lb can be  
achieved with this actuator. In Sec. 4, we present preliminary  
experimental results obtained from several high-capacity devices.  
Next, we study ways to control this stiffness value, first for a  
simple one-degree-of-freedom system, taking the flow dynamics  
into account, and then in Sec. 3, by using the linear stiffness  
approximation.

**2.1 Optimal Control of a Gas-Filled Actuator.** Given the  
ability to create a variable valve orifice area as the control  $u_v(t)$   
for this system, we first consider solving the following problem:  
“Which control extracts energy from the structure most quickly?”  
Given an initial condition, and/or assuming that a disturbance is  
known in advance, we can obtain a solution to this problem using  
tools from optimal control theory. Although it is generally not  
possible to know what the disturbance or the initial conditions are  
going to be ahead of time, knowing the optimal solution to this  
problem sheds light on the form of the feedback control law ac-  
tually used.

In order to solve the optimal control problem, we first obtain  
the equations for motion for the structure and gas-filled actuator.  
For a single degree of freedom system like the one shown in Fig.  
1, the equations of motion are

$$m\ddot{x} = -k_0x + (p_2 - p_1)A \quad (1)$$

where  $k_0$  is the structural stiffness,  $A$  is the area of the piston in  
the actuator,  $p_1$  and  $p_2$  are the fluid pressures in chambers 1 and 2,  
and we have neglected viscous damping.

The dynamics of the gas flow and the chamber pressure are  
found by considering a power balance of the system

$$c_pT\dot{m} - p\dot{v} + \dot{Q} = \frac{c_v}{R} \frac{d}{dt}(pv) \quad (2)$$

where  $p$  is the pressure inside the chamber,  $v$  is the chamber  
volume,  $\dot{m}$  is the gas mass flow rate into the chamber,  $T$  is the gas  
temperature,  $R$  is the universal gas constant,  $\dot{Q}$  is the heat transfer  
rate through the cylinder wall, and  $c_p, c_v$  are the gas constant  
pressure and constant volume specific heats, respectively. In (2),  
 $c_pT\dot{m}$  is the internal energy of the air flowing into the chamber,  $p\dot{v}$   
is the power output by the moving piston, and  $(c_v/R)(d/dt)(pv)$  is  
the time derivative of the total internal energy of the air in the  
chamber. We assume  $\dot{Q}=0$  because the heat transfer process has a  
much slower time constant than the air flow dynamics. We rewrite  
(2) by using  $c_p/c_v \equiv \kappa$  and the fact  $R=c_p-c_v$ , to obtain to a dif-  
ferential equation for gas flow into chambers 1 and 2

$$\dot{p}_1 = \frac{\kappa}{v_1}(RT\dot{m}_1 - p_1\dot{v}_1) \quad (3)$$

$$\dot{p}_2 = \frac{\kappa}{v_2}(RT\dot{m}_2 - p_2\dot{v}_2) \quad (4)$$

The mass flow rates  $\dot{m}_1, \dot{m}_2$  are controlled by the proportional  
valve. As shown experimentally in [20], the flow rates can be  
approximated reasonably well by

$$\dot{m}_1 = -\dot{m}_2 = cu_v(p_2 - p_1) \quad (5)$$

where  $c$  is a constant that depends on the valve orifice area, and  $u_v$   
is the valve control voltage, which can vary from zero (valve  
closed) to one (valve completely open).

Equations (1)–(5) define the dynamics of the system, and given  
an initial condition for example, the control  $u_v(t)$  that minimizes  
the mechanical energy can be found. To accomplish this, we  
solved the following nonlinear optimal control problem:

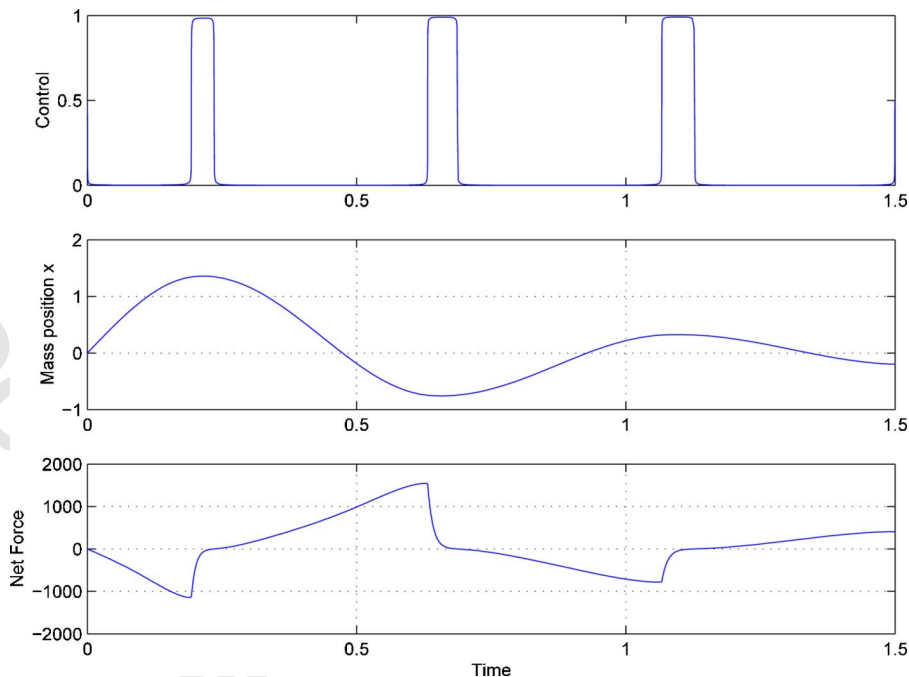


Fig. 3  $u_v$  pulses for a short time while the actuator resets.

$$\text{Min}_{u_v(t)} J[u_v(t)] = \frac{1}{2} \left\{ kx(t_f)^2 + m\dot{x}(t_f)^2 + \int_0^{t_f} \epsilon u_v(t)^2 dt \right\} \quad (6)$$

182

183 subject to (1)–(5) and  $u_v(t) \in [0, 1]$ . With  $t_f$  fixed and  $\epsilon$  a small  
 184 positive constant, we are minimizing the energy in the structure at  
 185 the final time. The nonzero weighting on the  $u_v^2$  term in (6) was  
 186 needed for the numerical algorithm used to solve the problem.  
 187 This term allows the nonlinear problem to be solved via a se-  
 188 quence of manageable linear quadratic subproblems [21]. For  $\epsilon$   
 189 small, the solution has little sensitivity to this parameter. For large  
 190  $t_f$ , the energy terms outside the integral are easily driven to zero  
 191 since a small  $u_v(t)$  produces a dampinglike effect. As  $t_f$  is de-  
 192 creased, at some point the energy terms can no longer be driven to  
 193 zero for any control  $u_v(t) \in [0, 1]$ . The least time for which the  
 194 energy terms can be driven to zero is denoted as the minimum  
 195 time  $t_f^*$ . To render the energy minimization reasonable, we are  
 196 interested in finding solutions for relatively small final times  $t_f$   
 197  $\leq t_f^*$ .

198 Figure 3 shows one sample solution to the control problem in  
 199 which  $t_f \leq t_f^*$ . Note that the optimal  $u_v(t)$  is usually zero, which  
 200 means that the valve is usually closed so that no gas flows be-  
 201 tween the two chambers. But at instants when  $x(t)$  is maximum or  
 202 minimum, the optimal  $u_v(t)$  pulses to one for a short time. The  
 203 fact that the control is bang-bang in this manner is also a neces-  
 204 sary condition for the optimal control. This is a standard result for  
 205 minimum time problems for systems that are affine to the control  
 206 (see, e.g., [22]). Physically, this solution corresponds to keeping  
 207 the valve closed until the gas in the actuator is most compressed,  
 208 and opening the valve for a brief time so that the pressure equal-  
 209 izes between the two sides of the cylinder. In doing so, the maxi-  
 210 mum amount of energy is transferred from the vibrating structure  
 211 into heat in the cylinder.

212 Inspection of the time-optimal control and comparison to the  
 213 idealization as a simple controllable spring element suggests that  
 214 to maximize the energy transfer, the value is opened (spring is set  
 215 to zero) at the peak displacement, before some of the stored strain  
 216 energy is returned to the structure. In Fig. 1, this related to set  
 217  $k_1=0$  at peak  $x$  to remove the energy stored in the spring (when  
 218  $(1/2)k_1x^2$  is maximum). Similar results (qualitatively) are ob-

219 tained for different initial conditions or disturbances. As Sec. 3  
 220 shows, a passivity approach can be used to obtain similar results,  
 221 for all possible initial conditions and disturbances, in a feedback  
 222 form.

### 3 Design of the Switching Law

223

224 As seen in Fig. 3, the optimal approach often results in a  
 225 switching law that maintains the valve closed most of the time and  
 226 occasionally opens the valve for short periods of time. In the  
 227 linear spring analogy, this corresponds to keeping the stiffness at  
 228 high values most of the time, while occasionally (e.g., at peak  
 229 displacements) reducing the stiffness to drain energy and restoring  
 230 or resetting the stiffness to the high value rapidly. In this section,  
 231 we derive a feedback switching law, based on the linear spring  
 232 approximation for the actuator, that can be applied to general dis-  
 233 turbances, multidegree-of-freedom systems, etc.

234 At any given time  $t$ , we use  $x_s$  to denote the position of the  
 235 piston at the last resetting of the device to its “high” stiffness  
 236 value; i.e.,  $x_s$  is a piecewise constant function, whose values are  
 237 changed due to resetting. For a spring, this corresponds to the  
 238 setting the unstretched position of the spring to  $x_s$ . As a result, the  
 239 energy stored in the actuator is  $(1/2)k_1(x-x_s)^2$ ; i.e., the energy  
 240 stored is determined by the compression or extension of the spring  
 241 is determined from the last resetting time. Adding this to the po-  
 242 tential energy of the system (i.e., the structure plus the actuator),  
 243 and application of Lagrange’s equations, leads to the equation of  
 244 motion

$$m\ddot{x} + [k_o + \alpha(x)k_1]x + c_o\dot{x} = u(t) + \alpha(x)k_1x_s \quad (7)$$

245 where  $\alpha(x)$  is either zero (low stiffness) or one (high stiffness),  
 246 and thus  $x_s$  is the value of  $x(t)$  the last time  $\alpha$  was set to 1 (or  
 247 “reset”). Here,  $u$  denotes additional inputs due to disturbances.

248 We note here that the model for any passive variable stiffness  
 249 device must take into account the position  $x_s$  for which the change  
 250 in stiffness occurs. The reasoning for this statement is as follows.  
 251 Assume the device has two stiffness values,  $k_{\text{high}}$  and  $k_{\text{low}}$ . A  
 252 switch in stiffness from low to high at  $x \neq 0$  would require an  
 253 addition of energy equal to  $(1/2)(k_{\text{high}}-k_{\text{low}})x^2$ , if  $x_s$  is not taken  
 254 into account. Thus, an injection of energy is needed, and this

255

256 contradicts the assumption that the device is passive. Some re-  
 257 searchers do not include  $x_s$  in their models, which will lead to  
 258 erroneous results. This statement applies to alternative viable  
 259 stiffness mechanisms such as passive piezoelectric devices.  
 260 As with most of the semi-active devices, the resulting system is  
 261 nonlinear due to the state dependent stiffness. As a result, analyti-  
 262 cal results in study of stability and performance (e.g.  $L_2$  or energy  
 263 gain) have been rare. Here, we rely on standard passivity results  
 264 (see [23]). We use the mechanical energy in the nominal system  
 265 (i.e., structure without the device) as the storage function

$$266 \quad V = \frac{1}{2}m\dot{x}^2 + \frac{1}{2}k_\alpha x^2$$

267 with the nominal output  $y=\dot{x}$ , to get

$$268 \quad \dot{V} = -c_\alpha y^2 + yu + \alpha(x)k_1\dot{x}(x_s - x) \quad (8)$$

269 where the first two terms on the right-hand side are from the  
 270 nominal system. Without the last term, following standard steps as  
 271 in [23], these terms would ensure that the nominal system is  
 272 strictly output passive (lossless if  $c_\alpha=0$ ); i.e., the rate of energy  
 273 storage in the system is less than (or for  $c_\alpha=0$  equal to) the energy  
 274 injected by the input  $u$ . The last term in (8) is due to the actuator.  
 275 It is clear that to preserve the passivity of the system (i.e., to avoid  
 276 increasing the stored energy by this device at any time—i.e., keep-  
 277 ing the device semiactive), we need

$$278 \quad \alpha(x)\dot{x}(x_s - x) \leq 0, \quad \forall t \quad (9)$$

279 Once the passivity is preserved, given that the storage function is  
 280 positive definite, without external disturbances (i.e.,  $u=0$ ) the sys-  
 281 tem is asymptotically stable (for  $c_\alpha=0$ , a simple application of  
 282 LaSalle's invariance principle will be needed).

283 Note that (9) concerns the rate of energy flow into the rest of  
 284 the system (i.e., the structure) from the actuator, thus a positive  
 285 sign implies an undesirable direction for energy flow. The fail-safe  
 286 mechanism here is to ensure that  $\alpha$  is set to zero (i.e., stiffness is  
 287 lowered or valve is opened) if  $(x_s - x)\dot{x} \geq 0$ .

288 We now introduce the basic switching logic used. From now  
 289 on, by setting  $\alpha$  to zero, we mean setting the stiffness to its lower  
 290 value (e.g., the valve is opened). A "reset" of the device means  $\alpha$   
 291 is set to one by increasing the stiffness to its high value (e.g.,  
 292 closing the device). Suppose the device is reset at  $t=t_1$ . At this  
 293 time, by definition,  $x_s=x(t_1)$ . Because of the continuity of  $\dot{x}$ , there  
 294 exists a  $t_2 > t_1$  such that for  $t \in (t_1, t_2]$  the sign of  $\dot{x}$  does not  
 295 change. During this period, we can write  $x(t) - x_s = \int_{t_1}^t \dot{x} dt$  and thus  
 296  $\text{sign}[x(t) - x_s] = \text{sign}(\dot{x})$ . Therefore, during the time interval after  
 297 reset that  $\dot{x}$  does not change sign, we have  $\alpha[x_s - x(t)]\dot{x} \leq 0$ , (recall  
 298  $\alpha$  is either zero or one) and the semiactive property [i.e., (9)]  
 299 holds.

300 The above discussion implies that the stiffness ideally *should* be  
 301 reduced (i.e.,  $\alpha=0$ ) when  $\dot{x}$  changes its sign, though it *can* be  
 302 reduced at other times as well. Once this is accomplished, the  
 303 stiffness can be reset to the high value (i.e.,  $\alpha=1$ ), i.e., it can be  
 304 reset, at any time that is physically possible; e.g., as soon as the  
 305 valve can be closed. Finally, note that as long as  $\alpha=1$ , and  $(x_s$   
 306  $-x)\dot{x} < 0$ , the actuators are draining energy from the structure and  
 307 storing it in form of potential energy (to be drained again when  
 308  $\alpha=0$ ). Thus, it is desirable to avoid resetting for as long as possi-  
 309 ble, since energy stored is proportional to the square of the  
 310 stretched length [i.e.,  $(1/2)k_1(x_1 + x_2)^2 \geq (1/2)k_1x_1^2 + 1/2k_1x_2^2$ ]. As  
 311 a result, lowering the stiffness with  $\alpha=0$  while (9) holds is not  
 312 desirable, while resetting  $\alpha$  to one as soon as possible is desirable.

313 This leads to the following *ideal resetting* rule, which is a  
 314 modified form of the switching logic proposed in [16]

$$315 \quad \alpha = 0 \quad \text{when } \dot{x} \text{ changes sign}$$

$$316 \quad \alpha = 1 \quad \text{otherwise} \quad (10)$$

The ideal case above assumes that the energy in the actuator 317  
 can be drained instantaneously. In most practical situations, how- 318  
 ever, removing energy takes some nonzero duration of time (for 319  
 example, the plot in Fig. 5 discussed in the next section for the 320  
 prototypes discussed in this paper). In such cases, we modify (10) 321  
 to the following: 322

$$\alpha = 0 \quad \text{when } \dot{x} \text{ changes sign} \quad 323$$

$$\alpha = 1 \quad \text{as soon as possible} \quad (11) \quad 324$$

by which we mean that as soon as the energy in the device is 325  
 drained, set  $\alpha=1$ . The above development is summarized by the 326  
 following key technical results: 327

- i. For the system (7), mechanical energy is drained from the 328  
 system as long as (9) holds. 329
- ii. After any reset, or switch from  $\alpha=0$  to  $\alpha=1$ , there is a 330  
 time interval for which (9) holds. 332
- iii. Both the ideal (10) and the practical (11) switching rules 333  
 ensure that (9) holds. 334

### 3.1 Control of Multiple Degree-of-Freedom Structures. 335

Next, we generalize this approach to a multidegree-of-freedom 336  
 systems, in which a number of these devices are installed. For 337  
 small motion,  $x_i$ , the displacement *along* the length of the  $i$ th 338  
 device can be represented by 339

$$x_i = T_i^T z \quad 340$$

for some transformation  $T_i$ , where  $z$  is the vector of generalized 341  
 coordinates and  $x_i$  is the motion along the main axis of the device. 342  
 The energy stored in the  $i$ th device is thus 343

$$U_i = \frac{1}{2} \alpha_i(z) k_i (x_i - x_{s,i})^2 = \frac{1}{2} (z - z_{s,i})^T T_i^T [k_i \alpha_i(z)] T_i (z - z_{s,i}) \quad 344$$

$$= \frac{1}{2} \alpha_i(z) (z - z_{s,i})^T K_i (z - z_{s,i}) \quad 345$$

where  $K_i$  is the contribution of the  $i$ th device to the overall stiff- 346  
 ness (i.e.,  $K_i = k_i T_i^T T_i$ ), with  $k_i$  the stiffness of the element and  $\alpha_i(\cdot)$  347  
 is the switching law. Here,  $z_{s,i}$  is the state vector at the last time 348  
 the  $i$ th actuator was reset (i.e., the last time when  $\alpha_i$  became 1). 349  
 Ideally, we seek a decentralized switching law, i.e.,  $\alpha_i(x_i)$ , which 350  
 is possible as shown below. 351

After using the above expression for the potential energy in the 352  
 actuators and applying Lagrange's equations, the equations of motion 353  
 for the  $m$ -degree-of-freedom structure become 354

$$M\ddot{z} + \left[ K_o + \sum \alpha_i(z) K_i \right] z + C_o \dot{z} = B u(t) + \sum \alpha_i(z) K_i z_{s,i} \quad 355$$

where  $B$  is the influence vector associated with disturbances (or 356  
 other inputs), while  $M$  and  $K_o$  are the nominal mass and stiffness 357  
 matrices. Next, we define outputs  $y = B^T \dot{z}$ , and apply the same 358  
 approach as before by using the positive definite storage function 359  
 to be the mechanical energy of the system (without the energy 360  
 stored in the actuators) 361

$$V = \frac{1}{2} \dot{z}^T M \dot{z} + \frac{1}{2} z^T K_o z \quad 362$$

which yields 363

$$\dot{V} = -\dot{z}^T C_o \dot{z} + y^T u + \sum \alpha_i(z) \dot{z}^T K_i (z_{s,i} - z) \quad 364$$

Recalling that  $K_i = k_i T_i^T T_i$  and  $x_i = T_i z$ , we get 365

$$\dot{V} = -\dot{z}^T C_o \dot{z} + y^T u + \sum \alpha_i(z) k_i \dot{x}_i (x_{s,i} - x_i) \quad 366$$

Similar to the one-degree-of-freedom system, we seek to design  $\alpha_i$  367  
 such that the actuators do not increase the rate energy storage in 368  
 the rest of the system (i.e., the structure). Thus, to preserve the 369  
 semi-active property, we obtain the same switching logic for each 370  
 $\alpha_i$  which is the same as (9) for the  $i$ th device, 371

372  $\alpha_i(x_i, \dot{x}_i) \dot{x}_i(x_{s,i} - x_i) \leq 0, \quad \forall t, \quad i = 1, 2, \dots, l \quad (12)$

373 which is decentralized and depends only on local coordinates (i.e.,  
374 motion along the length of the device), and independent of nomi-  
375 nal mass and stiffness properties.

376 If all modes are damped (i.e.,  $C_o > 0$ ), we can write

377  $\dot{V} \leq -\delta y^T y + y^T u + \sum \alpha_i(x_i) k_i \dot{x}_i(x_{s,i} - x_i)$

378 where  $\delta = \lambda_{\min}(C_o) / \lambda_{\max}(B^T B)$ . Then standard passivity results  
379 show that the decentralized switching logic above preserves the  
380 estimate for the  $L_2$  or energy gain from  $u$  to  $y$  (i.e.,  $1/\delta$ )  
381 asymptotic stability of the system (in the absence of external dis-  
382 turbances), under mild controllability or observability conditions.

383 As discussed in [16], when damping matrix is not positive defi-  
384 nite, asymptotic stability is not necessarily guaranteed and the  
385 state vector converges to the intersection of sets or manifolds  
386  $\dot{z}^T C_o \dot{z} = 0$  and  $\dot{z}^T K_i \dot{z} = 0$ . In such cases, zero-state observability with  
387  $K_i$  or similar concepts may be used to establish asymptotic stabil-  
388 ity, though depending on  $C_o$  and location of the devices (i.e.,  
389 structure of  $K_i$ ) the system may be stable only.

390 *Remark.* The switching law above was developed by defining  
391  $y = B^T \dot{z}$ , to exploit the passivity framework and to establish the  
392 semiactive nature of the switching law. In practice, other (addi-  
393 tional or different) outputs may be used for different purposes,  
394 without compromising the semi-active property as long as the  
395 variables needed for the switching law are measured. For ex-  
396 ample, implementing the switching law in (12) requires, at a mini-  
397 mum,  $\dot{x}_i$ . Also note that we have assumed continuity of the differ-  
398 ential equations for the structural motion. This is a relatively mild  
399 assumption and is met in all realistic cases (to ensure chattering is  
400 avoided, one can introduce a small threshold in the control logic).

401 **3.2 Variable Stiffness Feedback Control.** Let us now review  
402 and compare the resetting approached discussed above with a  
403 simple variable stiffness technique where it is assumed that the  
404 actuator can operate at two distinct stiffness values. In general,  
405 this leads to a system for which a variety of results from variable  
406 structure or switched systems can be used.

407 In this case, the equations of motion for the one-degree-of-  
408 freedom system is

409  $m \ddot{x} + [k_o + \alpha(x)k_1]x + c_o \dot{x} = u(t) \quad (13)$

410 where  $c_o, u(t), k_1$  are as in (7), and  $\alpha(x)$  is the switching law that  
411 controls the stiffness of the device. Note that here the device alters  
412 the stiffness only (i.e., no  $x_s$ ). We also assume that it is possible to  
413 develop devices that allow all stiffness values between zero and  $k_1$   
414 (i.e.,  $1 \geq \alpha(x) \geq 0$ ).

415 At a given deformation, increasing the stiffness of a spring  
416 requires the input of energy unless it is done at its unstretched  
417 position. Since we are interested in developing a low-power or  
418 semiactive device, this issue plays an important role in developing  
419 control logic for this device. As before, we start with a storage  
420 function

421  $V = \frac{1}{2} m \dot{x}^2 + \frac{1}{2} k_o x^2$

422 i.e., the mechanical energy in the nominal system. It is easy to see  
423 that with velocity measurement, i.e.,  $y = \dot{x}$ , we have

424  $\dot{V} = -c_o y^2 + y u - \alpha(x) k_1 \dot{x} x$

425 The first two terms on the right-hand side are from the nominal  
426 system and establish passivity and stability of the nominal system  
427 (similar to the resetting case). It is clear that in order not to alter  
428 the passivity of the system (e.g., to avoid increasing the stored  
429 energy at any time), thus satisfying the basic property of the semi-  
430 active approach, we need  $\alpha(x) k_1 \dot{x} x \geq 0$ . Given the range of values  
431 for  $\alpha(x)$ , the resulting semi-active switching law becomes

432  $\alpha(x, \dot{x}) = 1 \quad \text{if } \dot{x} x \geq 0$

$\alpha(x, \dot{x}) = 0 \quad \text{if } \dot{x} x < 0 \quad (14) \quad 433$

that is, given the desire to remove as much energy as possible  
yields an “on-off” or two-state logic even if intermediate values of  
 $\alpha$  were feasible. Also, passivity properties of the nominal system  
is preserved and following standard steps, we can show that sta-  
bility and  $L_2$  gain of the nominal system is preserved, as well. The  
generalization to multidegree-of-freedom system follows exactly  
as before, leading to a decentralized control law of (14). For brevity,  
the details are omitted.

**3.3 Comparison and Discussion.** The switching law (14) and  
approaches similar to it, have been used before. For example, [9]  
used a similar logic for a single degree of freedom system to  
demonstrate a simple variable structure system, whereas [1,2,10]  
had used variable stiffness devices to move energy to different  
modes, depending the excitation. In particular, [10] included a  
discussion on changing the stiffness to high values at zero deflec-  
tions. More recently, the variable stiffness approach has been used  
by Patten and co-workers (e.g., [3]) and Dawson and co-  
workers (e.g., [13], when the stiffness is altered with piezoactua-  
tors). Typically, the stiffness is increased to the higher value ac-  
cording to a logic similar to (14).

The resetting method coincides with the variable stiffness ap-  
proach if we wait and reset (i.e., setting the stiffness to high) only  
when  $x(t) = 0$ , which results in  $x_s = 0$ . In such a case, the device is  
not in operation, and thus is not collecting energy, during the  
period of time from reset and when  $x(t)$  crosses zero. This implies  
that the resetting approach is often more effective than variable  
stiffness since it is collecting energy, to be drained at peak storage,  
at all times, whereas the variable stiffness device is “off” roughly  
half the time. For results regarding rate decay (in simple first-  
order systems), or placement of devices (in MDOF structures),  
one can consult Ref. [11,16], respectively.

*Remark.* In [24], the term “reset control” is used to address a  
generalization of the Clegg integral from the 1950s, which has  
shown benefits in improving overshoot properties of linear con-  
trollers. There are similarities between these approaches, in the  
sense the equations of motion here can be presented as a special  
case of the model used there, and the devices discussed here have  
shown strong overshoot suppression properties (see [12]). The re-  
set control of [24], however, is a modification to a traditional  
(active) compensator, whereas the reset logic discussed here is  
vibration suppression device that is added to the structure or can  
be combined with a variety of other actuators, if desired (in which  
case the switched or hybrid systems approach might be an appro-  
priate framework). Also, the passivity approach has led to stability  
and performance guarantees in relatively simple steps, consistent  
with the suggested future work in [24].

**4 Preliminary Experimental Results** 480

Figure 4 shows the behavior of a prototype, obtained from  
shaking table testing at the National Center for Research on Earth-  
quake Engineering in Taiwan (see [19] for a more comprehensive  
description and additional results). Here, the device is subjected to  
sinusoidal motion with peak to peak distance of 20 mm, with a  
peak resisting force of 30 kN. The sudden drop in Fig. 4(b) cor-  
responds to resetting of the actuator, when the valve is opened at  
the extreme end of the motion to drain energy and reset the effec-  
tive stiffness to zero. This is more pronounced in the hysteresis  
plot in Fig. 4(c), where at each extreme end of the motion, reset-  
ting reduces the stiffness and thus the energy stored in the device.  
Also, note that Fig. 4(c) shows that the effective stiffness is quite  
close to a linear spring (as used in the development of Sec. 3)  
throughout the range of motion.

Given the scale used in Fig. 4, it is difficult to estimate the  
amount of time it takes to drain the energy and reset the actuator.  
Figure 5 gives a more detailed look at the response of the resetting  
controller, operating in an experimental single-degree-of-freedom  
test apparatus, subjected to initial displacement, in a setup quite

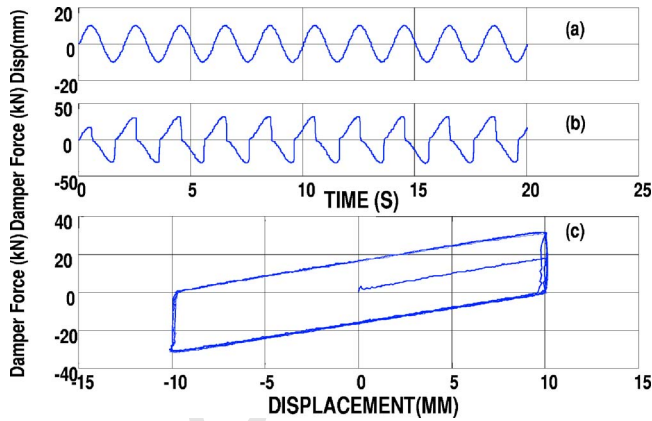


Fig. 4 (a) Piston displacement versus time, (b) net actuator force versus time, and (c) force versus displacement

500 similar to the schematic in Fig. 1. The plot shows the time it takes  
 501 for the actuator force to reach equilibrium (i.e., all stored energy is  
 502 drained) after the valve is opened fully. The signal labeled *net*  
 503 *force on piston* is the net force exerted by the gas on the piston.  
 504 Within the three narrow bands seen in Fig. 5, the valve is com-  
 505 manded to fully open, while outside these bands the valve is com-  
 506 manded to fully close. Note that within these bands, the force  
 507 exerted by the gas on the piston decays to zero, taking 30–40 ms.  
 508 Also note that the controller detects peaks in the position of the  
 509 piston, and initiates the resetting (i.e., closing the valve). As dis-  
 510 cussed earlier, from an energy standpoint, we would like to open  
 511 the valve when the force reaches a peak. The shape of the plot  
 512 reflects the fast decay in motion in the free response case. Further  
 513 experimental results are presented in [18,19].

514 The results here support the main characteristics used in develop-  
 515 ing the results of Sec. 3: the validity of using a linear spring to  
 516 approximate the behavior of a closed cylinder over a wide range  
 517 of peak forces (at least up to 30,000 kN), the existence of modest  
 518 delays in closing the valve, consistent with the control logic dis-  
 519 cussed earlier, and the feasibility of the concept for large-scale  
 520 devices.

## 521 5 Performance Comparison and Benchmark Simula- 522 tions

523 To evaluate the effects of resetting devices, the following compar-  
 524 ison is made. In a one-degree-of-freedom system, similar to the  
 525 schematic of Fig. 1, we introduce a base motion in the form of a  
 526 simple sine-wave and obtain the magnitude of the resulting motion  
 527 (similar to moving one of the side walls in Fig. 1 and measur-  
 528 ing the displacement of the mass). By sweeping through fre-

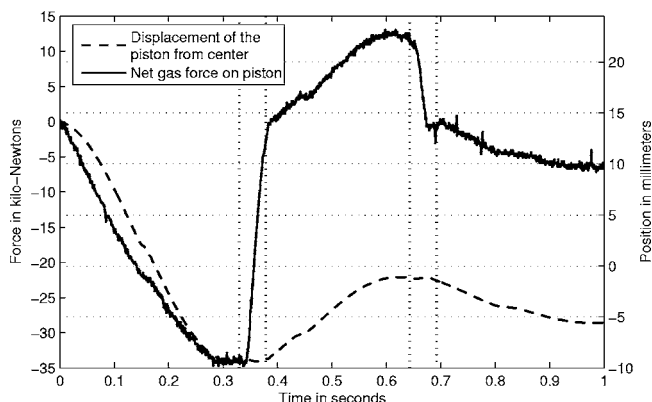


Fig. 5 Resetting response of a single actuator

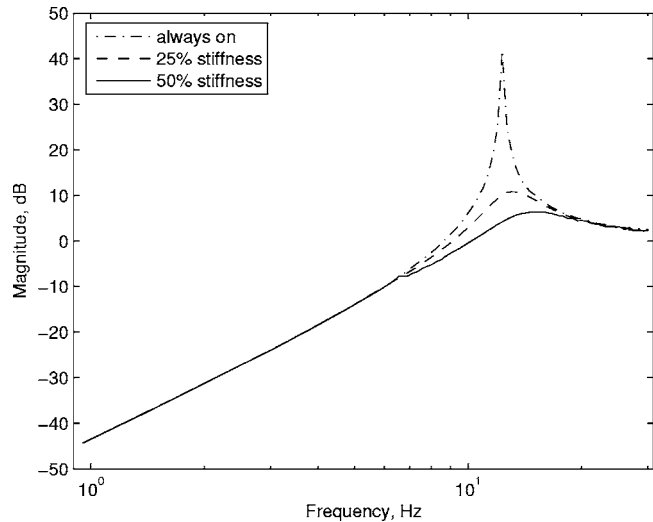


Fig. 6 Response of a single actuator to sine-wave base motion

quencies, we can obtain a form of frequency response for the  
 device. Note that in general, semi-active devices are nonlinear (in  
 this case, stiffness is state dependent) and notions of frequency  
 response should be used carefully. The resetting technique, as dis-  
 cussed in [25], has the homogeneity property that results in  
 magnitude-independent frequency response, unlike many other  
 semi-active techniques (assuming no physical limits on the stroke  
 of the device).

The results are presented in Fig. 6, where the dashed-dotted line  
 (“always on”) is the frequency response of the system if the stiff-  
 ness of device is simply added to the overall stiffness by prevent-  
 ing any resetting. The other plots correspond to the cases where  
 the stiffness of the resetting element (which is turned on) is 25%  
 or 50% of the total stiffness available. The 25% level might be  
 more practical, and provides significant attenuation. The 50% case  
 shows a drastic reduction in response consistent with the results  
 forming suppressing vibration due to initial conditions, discussed  
 in [11]. Overall, it shows the main benefit to be precisely in the  
 critical frequency ranges.

Among the advantages of a variable stiffness device for extract-  
 ing energy from the structure, as opposed to damping devices, is  
 that in cases of shock loading, large forces are not transmitted to  
 the structure. This is because high velocities create large forces in  
 traditional dampers, but create no force in the variable stiffness  
 device (see [12] for an example application to an automotive sus-  
 pension where the force transmitted through a conventional damper  
 is more than an order of magnitude higher than the force trans-  
 mitted through the resetting device). Here, we compare the per-  
 formance of the resetting approach to that of an MR damper  
 using the model developed in [8], where the NS component of the  
 1940 El Centro earthquake was the input to a three-story structure.  
 For the same structure, we simulate the results of placing a single  
 resettable device between the first and second floors. The device  
 has an effective stiffness of about 9 kN/cm. In Table 1, we show  
 the peak displacement ( $x_i$ ) of each story relative to ground, the  
 peak interstory drifts ( $d_i$ ), the peak absolute acceleration of each  
 story ( $a_{ia}$ ), and the peak force ( $f$ ) for the uncontrolled systems  
 as well as those obtained with either an MR damper or a resettable  
 device. The controller used for the MR device is the so-called  
 clipped optimal control (i.e., an optimal control law, such as LQR  
 or  $H_2$ , which is clipped if the device cannot provide the maximum  
 forces needed by the controller). As discussed in [8], this rather  
 complex and centralized approach often results in the best perfor-  
 mance in ER- and MR-based approaches.

As Table 1 shows, the performance of the two devices are quite

**Table 1 Effects on a three-story building**

	Uncontr.	Clipped opt. (MR)	Resetting
$x_1$ (cm)	0.20	0.04	0.04
$x_2$ (cm)	0.31	0.07	0.08
$x_3$ (cm)	0.36	0.10	0.12
$a_1$ (cm/s <sup>2</sup> )	421	341	363
$a_1$ (cm/s <sup>2</sup> )	430	363	318
$a_1$ (cm/s <sup>2</sup> )	571	341	340
$f$ (N)	0	492	470
$d_1$ (cm)	0.20	0.04	0.04
$d_2$ (cm)	0.11	0.04	0.03
$d_3$ (cm)	0.05	0.03	0.03

574 similar, and both deliver significant improvements from the open-  
 575 loop or uncontrolled case. This is not unexpected, since several  
 576 studies (see [8] and references therein) have shown similar pat-  
 577 terns; a relatively large number of devices with roughly equal  
 578 capacity (e.g., maximum resistive force) showing more or less  
 579 similar results. Generally, the resettable devices perform better for  
 580 higher-frequency disturbances (recall the discussions on their ben-  
 581 efits in shock-type disturbances). Overall, these devices offer  
 582 similar performance at far lower complexity (e.g., decentralized  
 583 logic) with standard and reliable hydraulic technologies. More  
 584 extensive comparisons can be found in [17], in which a variety of  
 585 semi-active devices are compared on this benchmark.

586 **6 Conclusions**

587 We have shown, using an optimal control approach, that the  
 588 resetting techniques is the fastest method for removing energy  
 589 from a vibrating structure, using variable stiffness actuators. We  
 590 developed a feedback control law, based on passivity arguments,  
 591 that implements the optimal control and extends previous results  
 592 to account for switching delays in practical hardware. Finally, we  
 593 have presented experimental and simulation results that demon-  
 594 strate that resetting is a viable method for applications in full scale  
 595 structures.

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